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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Name:  
Inventor : Robert L. Chambers et al.

Appln. No. : 10/799,356

Filed : March 12, 2004

For : SPEECH RECOGNITION USING  
CATEGORIES AND SPEECH  
PREFIXING

Docket No.: M61.12-0601


Group Art Unit: 2641

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS  
BEING SENT BY U.S. MAIL, FIRST CLASS,  
TO THE COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-  
1450, THIS

6<sup>th</sup> DAY OF February,  
2007.  
  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

**TIME OF FILING**

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. x 1. Within three month of the filing date of a  
national application other that a Continued  
Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international  
application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for  
Continue Examination (RCE).

2. ☐ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:
- (check either A or B below)
- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
- B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e);
- B. ☐ the petition fee set forth in 37 C.F.R. § 1.17(p).

### STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).


**METHOD OF PAYMENT**

- ☒ No fee is required.  
☐ Attached is a check in the amount of \$\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

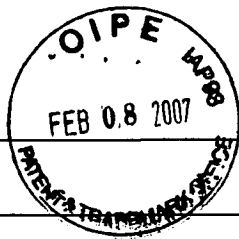
Respectfully submitted,

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FORM PTO-1449	Atty. Docket No.: M61.12-0601	Appl. No.: 10/799,356
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Robert L. Chambers et al.	
	Filing Date	Group Art:
	March 12, 2004	2641

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,088,671	07/11/00	Gould et al.	704	235	
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	
AR	
AS	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.